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## CERTIFICATE OF MAILING UNDER 37 CFR § 1.8

Date of Mailing: August 9, 2004

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August 8, 2004

DOCKET NUMBER: 1578.616

Mail Stop IDS  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In re: U.S. Serial No. 10/760,323  
Inventor: Gideon Roberts  
Title: **Apparatus and Method for Taking Measurements in  
Universal Mobile Telecommunications System User Equipment**

Enclosed please find:

- ☒ Information Disclosure Statement;
- ☒ Forms PTO/SB/08A and B;
- ☒ Five (5) references; and
- ☒ Return post card

Respectfully submitted,

By:

Robert H. Kelly  
Reg. No. 33,922  
Attorney for Applicant

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ATTY DOCKET NO.

1578.616 (prev. 555255012687)

PATENT

Customer ID No. 44208

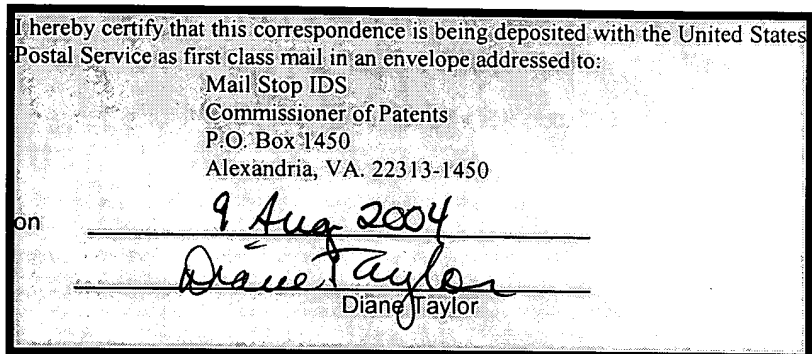


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: GIDEON ROBERTS AND NICOLA M. FUNNELL  
For : APPARATUS AND METHOD FOR TAKING MEASUREMENTS IN UNIVERSAL  
MOBILE TELECOMMUNICATIONS SYSTEM USER EQUIPMENT  
U.S. Serial No.: 10/760,323  
Filed: JANUARY 20, 2004  
Group Art Unit: 2858  
Examiner: NOT YET ASSIGNED  
Atty. Docket No. 1578.616 (PREV. 555255012687)

Mail Stop IDS  
Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



Dear Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§1.97 and 1.98, and §609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO-1449.

Each of the references listed below was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

PATENT DOCUMENTS

<u>Pat/App. No.</u>	<u>Date</u>	<u>Inventor</u>
US 2003/0218995A1	11/27/2003	Kim, et al.
US 6,640,105	10/28/2003	Shin
EP 0 892 571 A2	01/20/1999	Nokia Mobile Phones

NON-PATENT DOCUMENTS

ETSI TS 125 331: "Universal Mobile Telecommunications System (UMTS); RRC Protocol Specification (3G TS 25.331 v. 3.1.0 Rel. 1999); January 2000

ETSI TS 125 302: "Universal Mobile Telecommunications System (UMTS); Services provided by the physical layer (3GPP TS 25.302 v. 5.5.0 Rel. 5)

Applicant hereby expressly reserves the right to swear behind the effective date of the references and to question the relevance and materiality of the references cited, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

Respectfully submitted,

Date: \_\_\_\_\_

9 Aug 04

Robert H. Kelly  
Robert H. Kelly, Reg. No. 33,922  
Attorney for Applicant

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	1	of	2
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**Complete if Known**

Application Number	10/760,323
Filing Date	January 20, 2004
First Named Inventor	Gideon Roberts
Art Unit	2858
Examiner Name	Not yet assigned
Attorney Docket Number	1578.616 (prev 555255012687)

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

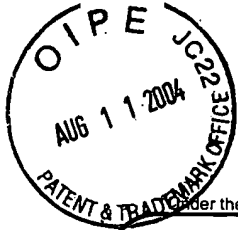
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
		EP 0 892 571 A2	01/20/1999	Nokia Mobile Phones		

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

*If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.*



PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

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First Named Inventor	Gideon Roberts
Art Unit	2858
Examiner Name	Not yet assigned
Attorney Docket Number	1578.616 (prev 555255012687)

Sheet	2	of	2
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**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		ETSI TS 125 331; "Universal Mobile Telecommunications System (UMTS); RRC Protocol Specification (3G TS 25.331 v. 3.1.0 Rel. 1999); January 2000	
		ETSI TS 125 302; "Universal Mobile Telecommunications System (UMTS); Services provided by the physical layer (3GPP TS 25.302 v. 5.5.0 Rel. 5)	

Examiner Signature		Date Considered	
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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